

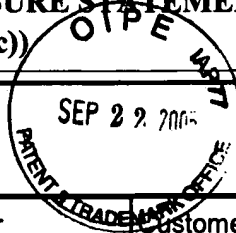
3622

| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT<br>(Under 37 CFR 1.97(b) or 1.97(c))  |                               |                           |                       |                        | Docket No.<br>14419      |  |
|---|-------------------------------|---------------------------|-----------------------|------------------------|--------------------------|--|
| In Re Application Of: Noriko Ito  |                               |                           |                       |                        |                          |  |
| Application No.<br>09/814,288   | Filing Date<br>March 21, 2001 | Examiner<br>Daniel Lastra | Customer No.<br>23389 | Group Art Unit<br>3622 | Confirmation No.<br>4794 |  |
| Title: ADVERTISEMENT PROVIDING SYSTEM AND METHOD  |                               |                           |                       |                        |                          |  |
| <p>Address to:<br/>Commissioner for Patents<br/>P.O. Box 1450<br/>Alexandria, VA 22313-1450</p> <p><b>37 CFR 1.97(b)</b></p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p><b>37 CFR 1.97(c)</b></p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p>OR</p> <p><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p> |                               |                           |                       |                        |                          |  |

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
14419

In Re Application of: **Noriko Ito**



| Application No. | Filing Date    | Examiner      | Customer No. | Group Art Unit | Confirmation No. |
|-----------------|----------------|---------------|--------------|----------------|------------------|
| 09/814,288      | March 21, 2001 | Daniel Lastra | 23389        | 3622           | 4794             |

Title: **ADVERTISEMENT PROVIDING SYSTEM AND METHOD**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP** as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

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Signature

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**Certificate of Mailing by First Class Mail**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

September 20, 2005

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(Date)

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Signature of Person Mailing Correspondence

George Brieger

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Typed or Printed Name of Person Mailing Certificate

**\*This certificate may only be used if paying by deposit account.**

\_\_\_\_\_  
Signature

Dated: September 20, 2005

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CC:



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** Noriko Ito

**Examiner:** Daniel Lastra

**Serial No.:** 09/814,288

**Art Unit:** 3622

**Filed:** March 21, 2001

**Docket:** 14419

**For:** ADVERTISEMENT PROVIDING  
SYSTEM AND METHOD

**Dated:** September 20, 2005

**Confirmation No.:** 4794

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified Application.

1. United States Patent No. 6,036,601 dated March 14, 2000, issued to Heckel;

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: September 20, 2005

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George Brieger

2. PCT Application No. 99/50775 dated October 7, 1999 issued to Clarke et al.;  
and

3. PCT Application No. 99/66719 dated December 23, 1999 issued to Zigmond et  
al.

The references were cited in an Official Action dated June 21, 2005, enclosed herewith, received from the Canadian Patent Office. Applicants are submitting copies of the above-cited references together with a copy of the Office Action. The relevance of the references is described in the Official Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 U.S.C. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent Application is that the Office Action issued in a foreign patent application on the same subject matter. However, no independent analysis of the Office Action, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the foreign examiner's comments submitted herewith.

The undersigned attorney hereby states that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign

patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

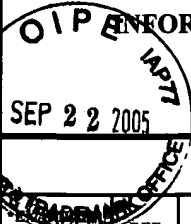
A handwritten signature in cursive script, appearing to read "George Brieger".

George Brieger

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(516) 742-4343

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## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

14419

Application Number

09/814,288

Applicant(s)

Noriko Ito

Filing Date

March 21, 2001

Group Art Unit

3622

## U.S. PATENT DOCUMENTS

| INITIAL | REF | DOCUMENT NUMBER | DATE      | NAME   | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|---------|-----|-----------------|-----------|--------|-------|----------|-------------------------------|
|         |     | 6,036,601       | 3/14/2000 | Heckel |       |          |                               |
|         |     |                 |           |        |       |          |                               |
|         |     |                 |           |        |       |          |                               |
|         |     |                 |           |        |       |          |                               |
|         |     |                 |           |        |       |          |                               |

## U.S. PATENT APPLICATION PUBLICATIONS

| *EXAMINER<br>INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|-----|-----------------|------|------|-------|----------|-------------------------------|
|                      |     |                 |      |      |       |          |                               |
|                      |     |                 |      |      |       |          |                               |
|                      |     |                 |      |      |       |          |                               |
|                      |     |                 |      |      |       |          |                               |

## FOREIGN PATENT DOCUMENTS

|  | REF | DOCUMENT NUMBER | DATE       | COUNTRY | CLASS | SUBCLASS | Translation |    |
|--|-----|-----------------|------------|---------|-------|----------|-------------|----|
|  |     |                 |            |         |       |          | YES         | NO |
|  |     | 99/50775        | 10/7/1999  | PCT     |       |          |             |    |
|  |     | 99/66719        | 12/23/1999 | PCT     |       |          |             |    |
|  |     |                 |            |         |       |          |             |    |
|  |     |                 |            |         |       |          |             |    |
|  |     |                 |            |         |       |          |             |    |

## OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

|  |  |  |
|--|--|--|
|  |  |  |
|  |  |  |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.